REQUEST FOR PROPOSALS

FOR

A. THE BRAZOS VALLEY CHILD CARE MANAGEMENT SYSTEM

AND

B. THE BRAZOS VALLEY WORKFORCE CENTERS SYSTEM MANAGEMENT AND OPERATIONS

FOR THE

Workforce Solutions Brazos Valley Board Area

Brazos, Burleson, Grimes, Leon, Madison, Robertson, and Washington Counties

Issued by

Workforce Solutions Brazos Valley Board
P.O. Box 4128
Bryan, Texas 77805
(979) 595-2800
http://www.bvjobs.org/

Issue Date: February 3, 2016  Bidders Conference Call February 17, 2016

PROPOSALS DUE: Thursday March 17, 2016, 12 Noon

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Background

The Workforce Solutions Brazos Valley Board (WSBVB) is a volunteer body instituted in accordance with the Texas Workforce Act (HI 1863 and S 642). The primary responsibility of the WSBVB is to provide policy and program guidance, to plan regionally for Workforce programs, and to exercise independent oversight of local workforce activities in partnership with local government. WSBVB is responsible for the effective and efficient delivery of services and ensuring program outcomes are consistent with the needs, goals, objectives and performance standards of the region and the state. The Brazos Valley Council of Governments (BVCOG) is the administrative and fiscal agent for the WSBVB. The WSBVB has an Integrated Plan on file with Texas Workforce Commission (TWC) which describes the operational and planning goals for the region. This Integrated Plan is carried throughout via Operating Policies and Procedures implemented in accordance with the goals.

The Workforce Solutions Brazos Valley Board (WSBVB) is a partnership between regional representatives of private industry, community-based organizations, education, public interest groups, organized labor, and human services that are committed to the planning, policy-making and evaluation of all workforce related programs in the Brazos Valley region. Representatives of the private sector constitute a majority of the Board membership. Twenty five members from Brazos, Burleson, Grimes, Leon, Madison, Robertson and Washington Counties are selected by the region’s seven county judges (chief elected officials) to serve on the board.

The Workforce Solutions Brazos Valley Board, through its Fiscal Agent and Administrative Entity, the Brazos Valley Council of Governments, subcontracts with an entity or entities to operate Child Care Management Services (CCMS) and Workforce Center System Management and Operation (WCSMO).

Purpose of Procurement

The Board is seeking one or more contractors for the operation of Option A: Child Care Management Services (CCMS) Programs and/or Option B: Workforce Center System Management and Operation (WCSMO). Proposers may submit for Option A, Option B or Option C, a proposal to operate both programs.

Respondents to this procurement may propose to operate either or both of the two components. Instructions for submission of proposals are on page 6. Please note that it may be in the Board’s interest and for efficiency and economies of scale to select one contractor to operate both components. Proposals may be for a managing director/Professional Employer Organization (PEO) approach or a turn-key operation. Note: use of PEOs requires that a signed PEO agreement be submitted with the proposal detailing the arrangement between the managing director and the PEO.
Option A. Child Care Management Services (CCMS) Programs

The contractor selected for CCMS through this procurement will be required to provide requested services in an environment of declining federal funding. Services include:

- **Child Care Client Services** – to offer child care to eligible families and to improve the quality, availability and affordability of child care in the Brazos Valley:

- **Provider Management** – to recruit eligible child care providers in all seven counties on a monthly basis to expand the availability of child care within the Brazos Valley workforce development area and to improve the quality of child care services provided:

- **Financial Management** – to provide financial management services for Child Care Client Services and Operations, and Child Care Provider Management.

A more complete description of CCMS responsibilities is on page 11 of this RFP.

Option B. Workforce Center System Management and Operation (WCSMO)

The Contractor selected for WCSMO will be responsible for the management and staffing of the Workforce Solutions Brazos Valley Board workforce center systems and all programs and services accessed by customer through that system. This includes outreach, recruitment, intake, eligibility determination, case management, placement assistance and follow up for all programs:

- Workforce Innovation and Opportunity Act programs for Adult, youth and Dislocated Workers
- Trade Act Adjustment program
- Employment Services programs
- Choices/TANF (Temporary Assistance to Needy Families) program
- Supplemental Nutrition Assistance Program (SNAP)
- Business Services assistance to employers and job seekers
- Non-custodial parent
- Veterans
- Community and Business Leaders Partnership
- Coordination with adult education and literacy subcontractor

A more complete description of WCSMO responsibilities is on page 16 of this RFP.

Option C. A proposal for both CCMS and WCSMO
Option C proposals must include all elements for Option A and Option B and a complete budget showing the sharing of costs proposed between the two programs in addition to costs for each program on the budget pages.

**Contract Period**

The contract resulting from this procurement will run from October 1, 2016 through September 30, 2017. Complete operations will begin October 1, 2016 with a four week transitional period with costs allowed from September 1 to September 30, 2016. Contract extensions past September 30, 2017 for up to three additional years, in one year increments, may be considered by the Board, depending on satisfactory contractor performance and funds availability. The WSBVB may vary the programs and/or contract period as necessary and shall ensure compliance with all program requirements and conditions in doing so. The contract will be monitored for performance on a monthly basis and may be amended or terminated if performance does not meet WSBVB standards. Contracts may be expanded to include any other programs that the WSBVB deems necessary and appropriate.

The Board has agreed to a four week transition period to facilitate transition of the services from the current entity to the new contractor. This period will begin September 1, 2016 and end on September 30, 2016. Any proposed expenses to be incurred during the transition period will be negotiated.

**Contract Information**

The contract obtained through this procurement will be a cost reimbursable contract. For-profit entities may include a profit in their proposal budget. Profit amounts and their attainment will be negotiated based on the contractor attaining negotiated performance benchmarks over the course of the contract. To establish a fair and reasonable profit, consideration will be given to the complexity of the work to be performed, the risk borne by the sub-contractor, the sub-contractor’s investment, the amount of sub-contracting, the quality of its past performance record, industry profit rates in the surrounding geographical area for similar work and market conditions. Profit and/or indirect (to include management fees) combined are limited to no more than 10% of the contract operations expenditures (not including direct care expenditures) for CCMS and up to 10% of workforce center system contract operations expenditures (not including customer expenditures) for WCSMO.

**Funding Information - CCMS**

Any contract resulting from this procurement will be contingent upon the receipt of sufficient funding from the Texas Workforce Commission, and upon the outcome and timing of contract negotiations between the Board and the selected contractor. The final contract amount will be
contingent on the actual funding received and subject to any changes in legislation, regulations or policies from TWC, and/or pertinent federal agencies. The Board may vary the programs, change and/or extend the contract periods as deemed necessary.

The estimated funding for FY 16 child care management services operations is $885,000. These funds pay for the direct costs incurred by the child care contractor i.e. all staff salaries, any profit and/or indirect, all office supplies, etc. The amount estimated available for FY16 direct child care is $4,794,000 pending carry forward. The proposer will present a line item budget for Client Services and Operations, Provider Management, Financial Management.

**Funding Information - WCMSO**

The actual contract amount for WCMSO is dependent on the level of funding received from the Texas Workforce Commission. For FY2016 the estimated amount for management of the workforce center system management to include staff is $1,889,000. The amount for direct programs and client services was $ 600,000.

**Procurement Timeline**

- **RFP Release**……………………………………………February 3, 2016
- **Bidders Conference Call**………………………………February 17, 2016
- **Deadline for Questions**……………………February 17, 2016
- **Posting Answers to Questions** …………………February 22, 2016
- **Deadline for Proposal Submittal**………………….March 17, 2016, 12 Noon CST
- **Proposal Review/Evaluation**……………………March 18 – 31, 2016
- **Notify Top Three Scorers for Interview**……..April 1, 2016 1:30 – 5:00PM
- **Top Three Proposer Interviews**……………….April 14, 2016
- **Contractor Selection by Board**………………….April 21, 2016
- **Contract Negotiations**…………………………May 2016
- **Contract Transition Period**………………… September 1 – 30, 2016
- **Contract Start Date**…………………………… October 1, 2016

**Bidders Conference and Question Answer Period**

A bidder’s conference will be held through a telephone conference call on February 17, 2016 from 10:00AM to 11:00AM for CCMS and from 11:00 to 12 Noon for WCMSO. Individuals and organizations interested in calling in should contact Richard Rogers no later than 5:00PM on the day before the call (see contact information below) to receive the phone number and pass code for the call. To view and download the RFP go to **www.bvjobs.org**. The contact person for this procurement is Board Consultant Richard Rogers, (512) 963-4895, or email richard@swtexas.net. Difficulties downloading the RFP document should be referred to Shawna Rendon at (979) 595-2800 ext. 2012.
A question and answer document will be prepared and posted on www.bvjobs.org on February 22, 2016. Questions concerning this procurement must be submitted via email to richard@swtexas.net no later than February 17, 2016. Questions concerning this procurement will not be accepted outside of the above timeframe.

Submission of Proposals

Proposers may submit a proposal for Child Care Management Services (Option A), Workforce Center System Management (Option B), or a comprehensive proposal for both programs (Option C).

An original and five (5) copies of a written proposal plus all required attachments are due to the Board’s offices no later than March 17, 2016, 12:00 PM. Faxed or email proposals are not acceptable. Proposals received after the indicated due date and time regardless of delivery method will not be accepted or considered for award. The bidder is responsible for ensuring that the copies contain all of the required elements of the proposals to include attachments. Incomplete copies will result in the bidder being deemed non-responsive to the RFP. No additional material may be submitted after the due date and time. Any proprietary information should be clearly marked as confidential. Note: This does not preclude information from being released as a part of any Open Records request. Note, submitted SOPs may be subject to release under an Open Records request.

Order of Submission for each Option

1. Proposal Cover Sheet
2. Proposal Narrative(s)
3. Budget Form(s), Staffing List and Cost Allocation Plan

Attachments to be submitted
A. Administrative Management Survey
B. Financial Systems Survey
C. Signed Certification of Bidder
D. Signed Certification Regarding Debarment Lobbying Drug-free Work Place
E. Signed Certificate Regarding Conflict of Interest
F. Certification Regarding Texas Corporate Franchise Tax
G. Personnel Policies Assurance
H. Table describing employee benefits
I. Assurances & Certifications
J. Resumes and Job Descriptions for Administration and Management staff
K. Job Descriptions for program staff
L. Copies of the three most recent annual monitoring reports (either from a grantor agency or from another Workforce Board, pertaining to your operations of grants and/or programs) and corrective actions implemented to address any findings in these reports.
M. Standard Operating Procedures (for Option A: CCMS or for Option B: WCMSO or for Option C: SOPs for both programs).  Note: SOPs are not considered proprietary information and may be accessed by the public through Open Records request.

N. Audit Summary Reports and detail of any audit exceptions for two most recent years

O. Un-audited financial statements for time since most recent audit.

P. Certificate of Historically Under-utilized Business, if applicable

Proposals may be hand delivered to:

Attention: CCMS/WCMSO Proposal  
C/O Richard Rogers  
Board Procurement Consultant  
Brazos Valley Council of Governments  
3991 East 29th St.  
Bryan, Texas 77802

Proposals may be mailed to:

Attention: CCMS/WCMSO Proposal  
C/O Richard Rogers  
Board Procurement Consultant  
Brazos Valley Council of Governments  
PO Drawer 4128  
Bryan, Texas 77805

Note: Mailed proposals must arrive to the WSBVB offices prior to the due date deadline time regardless of post marked date.

Evaluation of Proposals/Recommendation to WSBVB

The proposal selection process consists of three phases:

1. Phase One: review and scoring of submitted proposals by an independent review team,
2. Phase Two: an on-site interview with Workforce Solutions
3. Phase Three: Committee recommendations are submitted to the Board who authorizes Board Staff to enter into negotiations and contract with the recommended proposer(s). A contract will be executed after successful negotiations and the satisfactory results of a fiscal integrity/pre-award review of the proposing entity.
Proposal Scoring

Program Knowledge/Proposed Management Approach: 45 points
Professional Experience/Demonstrated Effectiveness: 40 points
Cost Reasonableness: 15 points
Certified Historically Utilized Business (HUB): an additional 5 points
Phase One Maximum Proposal Review Score: 105 points
Phase Two Board Committee Interview Scoring: 50 points
Total Maximum Scoring: 155 points

Proposals that contain all of the required elements will be deemed responsive if they score at least 70 points based on the evaluation criteria. Points will be awarded based on the thoroughness of proposal elements in response to the RFP and the consistency of the proposal with those elements described in this RFP.

Interviews

The top three proposers scoring 70 points or higher, based on proposal score, will be asked to interview with members of the Workforce Solutions Brazos Valley Board on April 14, 2016 at the WSBV offices at 3991 East 29th Street, Bryan, Texas. Candidates to be interviewed will be notified by phone no later than Noon, April 1, 2016. All travel costs for attending the interview are the responsibility of the bidder.

The proposed project director and on-site managing director must be present at the interview. The interview will consist of a series of questions posed to the proposer staff by Board members. Board members will score each proposer’s oral responses in the interview based on the knowledge, skills and abilities demonstrated by the proposer in the interview. The combined score for phase one (proposal review) and phase two (interview) will be the bidder’s final score. The proposer receiving the highest total score will be recommended to WSBVB for consideration and contract negotiation.

Proposal Evaluation Criteria

Proposals will be reviewed by an experienced independent workforce development/child care management review team. The team will evaluate proposals based on their responsiveness to the request for proposal, the completeness and reasonableness of each response, the overall consistency of the proposal narrative and budget, the adequacy of the standard operating procedures submitted with the proposal and the overall demonstrated effectiveness of the proposer. Proposers who do not have demonstrated effectiveness in delivering the requested services should not submit a proposal.
Program Knowledge/Proposed Management Approach: 45 points

This criterion relates to the proposer’s knowledge of federally funded Child Care and workforce programs, including the Workforce Innovation and Opportunity Act, Supplemental Nutrition Assistance Program, Choices/TANF, Trade Adjustment Act, Employment Services, Business Services and Veterans programs, Non-Custodial Parent Choices program, Texas Rising Star program, Adult Education and Literacy programs, DARS programs operated through TWC. This category also relates to the proposer’s knowledge of the role and activities related to Workforce Solutions Brazos Valley Workforce Center Operations. The proposer’s approach to management and operation of the workforce center system and/or child care management system as demonstrated in the proposal will also be evaluated. The successful proposer(s) must present a staffing plan sufficient to implement the program design, and it must offer a high performance approach to management that will effectively integrate human resources and operational processes that will meet and exceed program performance goals for the Brazos Valley Region. All seven counties must be staffed by workforce staff Monday through Friday, 8AM to 5PM. Consistent with this performance oriented management approach, the successful proposer(s) must demonstrate an understanding of and capability for implementing a comprehensive and rigorous approach to continuous quality improvement that focuses on achieving the expectations of the child care customer.

Professional Experience/Demonstrated Effectiveness: 40 points

This criterion relates to the experience of the proposer with regards to previous successful management experience in workforce center system operation and or child care management programs. This category will consider both the size of the contracts managed and the outcomes achieved. The proposer will be evaluated based on the demonstrated ability to build effective and diverse teams to achieve objectives. Key areas under this category are demonstrated effectiveness in customer service and customer focus, career pathways and community involvement as well as performance against contracted measures. Proposer(s) must demonstrate knowledge of federal, state and regularly accepted accounting practices and the ability to utilize funds to derive organizational strategic objectives. The proposer must demonstrate an understanding of how to apply technology throughout the organization to enhance adult learning and career development. The results and achievements as related to organizational goals will also be evaluated. The proposer must demonstrate professional experience and understanding of workforce development and child care management performance measures and the ongoing management of these measures. The proposer will be evaluated on the extent they were able to achieve performance in the past.
Cost Reasonableness: 15 points

This criterion relates to the cost reasonableness as determined by relating the proposed cost to proposed services compared to the other proposal budgets submitted. All associated costs for doing business as such should be included in the proposal budget. The proposer will be evaluated concerning their ability to pay disallowed costs should such costs arise, internal fiscal controls proposed and their ability to meet financial benchmarks. Past incidents of disallowed costs must be disclosed in the proposal.

Additional Specifications

- Demonstrated Effectiveness
  - The Board is seeking an experienced, successful workforce center system operator and child care delivery system manager. While other experience may be considered, the preference is for an on-site managing director or turn-key operating entity with successful workforce center system management/child care system management and operation experience.
  - The successful candidate must demonstrate expertise in managing budgets and cost allocation on a daily, weekly and monthly basis.
  - The successful candidate must demonstrate expertise in managing human resources and directing/motivating staff to achieve high levels of performance and customer service.
  - The successful candidate must demonstrate expertise in workforce and child care performance measures, rules and regulations and procedures. Proposers will submit standard operating procedures reflecting their approach to providing the requested services. These SOPs will be reviewed as part of the proposal evaluation process. The successful candidate must demonstrate financial integrity and capacity and operational stability.
  - The successful candidate must have sufficient knowledge and experience to understand and manage TWIST functions as a part of workforce center system and child care system management, as well as HHSC, TEAMS and debit card systems.

- Organizational Approach
  - For turn-key operations, the successful candidate must explain all costs charged to indirect, in addition to other budget items, to include staff titles and functions as they relate to the Brazos Valley workforce center system operation and/or child care management system operation.
  - For turn-key operations, the successful candidate must describe who the corporate accountant is for this project.
  - The on-site manager or managing director must be empowered to make decisions (including hiring and firing decisions) without waiting for corporate approval from a distant headquarters.
- The on-site manager or managing director must have the means to ensure accountability of staff for deficiencies in performance outcomes.
- Customer service and continuous improvement principles must be the basis for workforce center systems operation/child care management system operation.
Description of Requested Services

Option A. Child Care Management System

Child Care staff are located in Bryan with one-person offices in Caldwell, Navasota, Madisonville, Hearne, Centerville and Brenham.

Child Care System Management – Client Services and Operation

1. Offer services that provide child care to eligible families and improve the quality, availability and affordability of child care in the Workforce Solutions Brazos Valley Board area. Services must include but are not limited to:

   A. Manage funds to maximize service units and meet monthly fund utilization targets

   B. Design program service delivery to minimize operations and staffing costs. This program will be co-located in the WSBVB centers.

   C. Provide intake, eligibility, and ongoing management of services for parents and caretakers applying for child care services.

   D. Offer low-income families an expanded choice of accessible child care arrangements.

   E. Offer low-income families an opportunity to work, obtain training, or receive an education enabling them to become self-sufficient.

   F. Offer families an identifiable source of child care information and assistance.

   G. Promote parental choice and informed decision making through consumer education materials, an orientation and consumer educational packet for parent and caretaker in accordance with Board guidelines. The information will contain, at minimum, information on “how to select a child care provider”, and a “Consumer Guide to Child Care Services” created in accordance with Board guidelines. Orientation shall include but not be limited to Quality Improvement materials, appeals process, and program requirements.

2. All services offered will be evaluated through a “Customer Satisfaction Survey”. The awarded vendor must be capable of preparing, conducting and reporting the findings of this survey. The contractor will make changes according to the findings of this survey.

3. CCMS client services will be based on measurable objectives. Measurable objectives and outcomes will be reported on a monthly basis, or as requested by the Board. Monthly report must include accurate monthly and year-to-date non-duplicated statistics broken down into
individual counties (i.e. number of children served, number of children on waiting list, dollars expended).

4. Comply with all TWC, Board, state and federal eligibility rules in the determination and verification of eligibility for child care services.

5. Coordinate with State and local workforce and training programs, community agencies, Workforce Solutions Brazos Valley Workforce Centers, and with local staff of Children’s Protective Services of the Texas Department of Protective and Regulatory Services to assure that Child Care Services are provided to eligible individuals. The contractor will refer clients to other appropriate services as needed.

6. Internal Monitoring Policy and Procedures must show quality assurance and fraud prevention. Monitoring Policy and Procedures must include frequency and the responsible entity of review and utilize the Board contracted monitoring tool and risk assessment. Monthly reporting and monitoring policy and procedures must include a resolution policy. Monitor provision must include client services to assure compliance with eligibility requirements, eligibility waiting list management, monitoring of parent choice, rights and responsibilities, providers selection, enrollment processes, case monitoring, Child Care Automated Attendance System (CCAA), TWIST, monitoring, and other requirements as stipulated in the CCMS contract, Contractor Manual, WSBVB Manuals and TWC rules.

7. Hearings, complaints, and appeals from clients, providers, and the CCMS contractor will be in accordance with and guided by the Board policies in accordance with TWC guidelines.

8. Comply with all TWC, Board and BVCOG policies in regard to acquisition, possession and use of automation hardware, software, and interfaces. The CCMS contractor must be in compliance with TWC, CCMS automation, CCAA, TWIST and child care management information systems policies and procedures, and the WSBVB Property Management System.

9. Develop collaborative and cooperative relationships within the WSBVB through the following activities: communication and coordination with agencies having responsibility for any services to children, those having regulatory responsibilities for health and safety of children receiving child care services, and monitoring CCMS provider compliance with CCMS contract requirements.

10. Capability of reporting monthly and year to date statistical information by separate program description to include but not limited to: fiscal year allocations, current expenditures, number of clients in care, number of clients funding will cover through current month reporting, number of clients that can be added, estimated annual number of clients current enrollment, estimated number and amount of manual claims, corrections, and claims paid through CCAA, and estimated surplus/deficit.
11. Capable of negotiating and utilizing additional allocated funds received by the Board for CCMS client services.

12. Ensure services are supportive services for all programs of the WSBVB. The CCMS shall be responsible for the management and payment of child care, including care for:
   - Choices training program participants
   - WIA/WIOA participants
   - Children entitled to transitional Child Care benefits
   - Choices participants
   - Children receiving child protective services
   - Supplemental Nutrition Assistance Program participants
   - Recipients of TANF cash assistance and SNAP benefits
   - Other low-income eligible families that are at risk of becoming dependent on TANF.

13. Compliance with WSBVB’s customer registration and complaint systems.


15. Participate in a continuous improvement process.

**Child Care System Management - Provider Management Services**

As defined by the WSBVB, Provider Management Services are to support the efforts of CCMS providers to improve the quality and availability of child care services in the Brazos Valley.

1. Provide for recruitment of eligible CCMS providers for child care services.

2. Provide for selection of eligible CCMS providers for child care services.

3. Provide for maintenance of CCMS providers for child care services.

4. Ensure that parents are allowed the right to select a provider of their choice to care for their child or children from all eligible child care providers.

5. Monitor compliance of providers and report the outcome of action taken with providers which are out of compliance. Report on the procedure of corrective action, outcome and consequence of Vendors not in compliance with the terms of the CCMS Provider Agreement.

6. Ensure every provider of child care services reimbursement by CCMS funding meets applicable state and federal child care health and safety standards.
7. Ensure that providers with agreements have signed, understand and are in compliance with the terms of the CCMS Provider Agreement.

8. Ensure availability of child care providers to meet the needs of parents, caretakers, and children in the entire Workforce Development Area. This includes recruitment of child care providers in the rural counties of the Brazos Valley Workforce Development Area. Also recruitment efforts must include outreach to providers of child care service during non-traditional hours of operation (i.e. weekends, evenings, and nights).

9. Negotiate and utilize additional allocated funds received by WSBVB for provider management.

10. Ensure services are supportive services for all programs of the Workforce Solutions Brazos Valley Board.

11. Ensure that hearings, complaints, and appeals from clients, providers, will proceed in accordance with and guided by the WSBVB policies and TWC guidelines.

12. Base vendor management on measurable objectives. Measurable objectives and outcomes will be reported on a monthly basis, or as requested by the WSBVB.

13. Monitor policy and procedures to demonstrate quality assurance and fraud prevention. Monitoring policy and procedures must include frequency and the responsible entity of review, and utilize the Board contracted monitoring tool and risk assessment. Monthly reporting and monitoring policy and procedures must include resolution policy. The identified workforce Board contractor will adhere to meeting the Board’s strategic plan, local goals, policies and monitoring requirements.

14. Coordinate with the WSBVB contracted and program monitors, including use of the risk assessment tool.

15. Participate in a continuous improvement process.

Child Care System Management - Financial Management Services

Financial Management is to support the efforts of CCMS — Client Services and Operations and Provider Management through financial management.

1. The Contractor will comply with all of the TWC and the Board financial and funds management policies and procedures applicable to the Child Care funds. The Contractor must also agree to follow all program policies, guidelines and directives from the TWC and the Board on the management of direct child care funds.
2. BVCOG processes child care claims. The contractor will provide BVCOG with an upload file no later than Tuesday of each week for claims processing.

3. The contractor must maintain accounting records that document and properly allocate total allowable CCMS operations expenses for each month in the budget period including but not limited to: types of expense—salaries, fringe and other costs and federal reporting categories—child care administration, systems and program costs.

4. The contractor shall submit claims to the Board for the Board’s share of CCMS operations expenses in a format prescribed and/or approved by the Board for each month in the budget period; this applies for each claim for reimbursement. Back-up documentation is required.

5. The contractor will be accountable for inputting all records related to parent fees into TWIST.

6. Capable of negotiating and utilizing additional allocated funds received by WSBVB for financial management.

8. Ensure services are supportive of all TWC programs of the Workforce Solutions Brazos Valley Board.

9. Hearings and appeals from clients, providers, and the provider management contractor will be in accordance with and guided by the WSBVB policies and TWC guidelines.

10. Financial Management will be based on measurable objectives. Measurable objectives and outcomes will be reported on a monthly basis.

11. Internal Financial Monitoring policy and procedures must show quality assurance and fraud prevention. Monitoring policy and procedures must include frequency and the responsible entity of review, and utilize the Board contracted monitoring tool and risk assessment. Monthly reporting and monitoring policy and procedures must include resolution policy.

12. Coordinate with the WSBVB contracted monitor, including use of the risk assessment tool.

13. Participate in a continuous improvement process.
Option B. Workforce Center System Management and Operation

The Mission of WSBVB is to provide an exceptional, customer focused and continually improving workforce development system in the Brazos Valley region that exceeds customer expectations and enhances the availability of skilled, productive workers that complements the Region’s overall education and economic development strategy. The Board’s goals for workforce development for FY 2015 were:

Goal 1: Job seekers have the skills and opportunity to obtain employment leading to self-sufficiency.

Goal 2: To enhance and sustain economic growth, a well-trained labor supply is available to employers seeking to establish, expand or conduct business in the Brazos Valley Region

Goal 3: Adult education, career technical education, college and work readiness are coordinated in a comprehensive manner to further skills development and reduce the skills gap in the region.

Goal 4: Workforce Solutions Brazos Valley meets performance objectives through coordinated planning, management and effective, efficient delivery of programs and services.

The contractor selected for Workforce Center System Management and Operation will be responsible for:

- Ongoing outreach, recruitment, screening, career pathways and determination and certification of eligibility of clients for WIOA programs or other available employment and training programs;
- Coordination of participant supportive services, consistent with the rules and regulations of the various funding sources;
- Compliance with the requirements of WIOA rules and regulations;
- Compliance with the requirements of State of Texas SB 642 & HB 1863;
- Compliance with the Texas Workforce Commission rules and regulations; and
- Choices/TANF, NCP and SNAP program policies, rules and regulations;
- Compliance with the requirements for Veterans Programs, Trade Adjustment Act programs, Employment Services programs, and Helping Youth Prepare for Employment (HYPE) program;
- Maintain a Business Services Unit to serve employer customers as approved by the Board;
- Maintain comprehensive year around WIOA youth programs;
- Work with the Community and Business Leaders partnership
- Work closely with the Board’s other contractors for child care and AEL program.
- Support the HUD Family Self-Sufficiency program (FSS)
- Host the Coalition of Brazos Valley Veterans Organizations
- Marketing of these programs and the Workforce Centers in the community will be an aspect of this role within the marketing plan approved by the Board.
- Managing and directing the staff at the Centers.
- Providing opportunities for staff development and training
- Exceeding all performance measures for workforce development programs. These measures are presented in WSBVB’s Integrated Plan and in this RFP.
- Turn-key contractor or PEO will serve as the employer of record for WIOA and Choices participants receiving wages for activities
- The contractor is responsible for implementing WSBVB’s strategies and obtaining WSBVB’s goals/objectives as presented in WSBVB’s Integrated Plan for services. The plan may be viewed at www.bvjobs.org.
- Complete data entry as required by TWC and by WSBVB into the TWC system(s) and the local systems. Contractor shall be responsible for providing accurate information to BVCOG finance department daily to ensure the Individual Training Account (ITA) and Participant Cost system reflects accurate training and support services transactions and balances
- Maintain the confidentiality and security of all client information
- Maintain an inventory of all grant property and grant equipment used by the Centers. A yearly inventory will be conducted by the Contractor and provided to WSBVB and BVCOD to confirm possession of all grant property or grant equipment
- Use the WSBVB contractor evaluation system, WSBVB policies, procedures, directives, and WSBVB risk management. Contractor shall ensure diligence in managing programs, carry out appropriate monitoring activities, and take prompt corrective action against known violations of the legislation, regulations, applicable policies, contract provisions, and other requirements
- Establish, maintain, and utilize internal program management procedures sufficient to provide for proper effective management and the prevention of fraud and abuse in all activities funded under the contract.
- Be liable for disallowed costs that may result from workforce center operation and services.
- Continuous improvement of the workforce center system
- Complaint and grievance procedures for workforce center customers and staff

B. Customer services for both employers and job seekers are currently conducted from seven Center locations. One of the goals of WSBVB is to improve access to a variety of workforce programs, reduce duplication and ensure that workers seeking employment information can get the information they need regardless of how or where they enter
the employment and training system. The services that will be provided under Centers include, but are not limited to:

- Recruitment
- Outreach
- Certification of eligibility of WIOA Participants and referral/assignment to appropriate activity or program
- Coordination with the Texas Workforce Commission and Board partners,
- Labor Market Information
- Working with employers to determine employee skills requirements
- Job development
- On-the-job training contracts
- Organizing job fairs and business service seminars,
- Referring qualified applicants to employers, and
- Choices, NCP and SNAP programs screening, assessment and testing, and referrals
- Eligibility for Choices child care services
- Business services to employers to include enhancing market share, enhancing the credibility of services to employers, identifying employer needs, enhancing the depth of services to employers, and developing future labor market diversity in accordance with the Business Services plan.

C. Employees of the Contractor and those employed by the Employer of Record (EOR) to assist in the operation of the workforce centers are subject to the exclusive control and supervision of the Contractor. Contractor is solely responsible for oversight, management, supervision, criminal background checks, hiring, firing, training, grievances, promotion, demotion, evaluation and reprimanding all such employees and coordination of employee benefits, including acting as trustee to the employee 401K program, and payroll with the EOR. The Contractor will be solely responsible for all aspects of workforce center management, operation, and staffing under the Proposal Options.

D. Key measures for the operation of the workforce center system will be:
   - fiscal accountability to include adherence to utilization rates and monthly financial management
   - monthly program performance measures
   - successful achievement of Board goals
   - customer satisfaction rates and comments
   - staff training, staff turnover, staff longevity, staff accountability
   - partner integration and satisfaction
   - job placement and job retention measures
   - satisfactory performance against WSBVB’s performance measures
OPERATIONAL PLAN FOR MANAGEMENT OF WORKFORCE CENTERS

A. Proposers should plan to manage the following services via the local Workforce Centers in such a manner that customers receive integrated services:

1. **Outreach and recruitment** is conducted to inform the community of the availability of the services of the Workforce Centers and the Brazos Valley Council of Governments. WSBVB will continue, with the cooperation and assistance of the Centers, to implement a marketing campaign directed at both the business community and job seekers. While community outreach is not a daily structured activity, it is carried out on a frequent and consistent basis. Center staff participates in community activities and events sponsored by various human services organizations and partner agencies. These activities, along with media announcements and other efforts, have proven effective in bringing applicants to the Centers. The contractor is also expected to make regular presentations at Adult Education and Literacy functions and have a presence at the Blinn College Bryan Campus.

2. The Centers operate under different **levels of service based on client need**: The level of service customers receive defines whether or not that individual will be reported in the participant count, needs only child care or is a business. Any customer will have access to basic career services and self-service tools without restrictions or additional eligibility requirements. These are universal services and contingent on available funds. Services that spend funds on an individual customer will require application and determination of eligibility. Intensive services and training services will require application and determination of eligibility also. For those completing eligibility and enrollment for services, reporting information will be required.

3. **Comprehensive assessment** of each participant's needs, basic skills, occupational skills, financial assets, educational background, prior work experience, employability, career interests and aptitudes and the need for supportive services is conducted. In addition to the verbal assessment TABE, Work Keys Talent and Occupational Tests are given to determine skills deficiencies at a basic career service level. An analysis of this information is the basis for realistic employment and training goals, which the Center staff develops into an Individual/Family Employment Plan with the applicant in one or more sessions with a career counselor. Additional labor market information, such as demand occupations, immediate employers and training providers' data, is given to the applicant at this time so that he/she may make informed choices.
4. **Case management, counseling and follow-up** are provided to ensure achievement of positive client outcomes. This includes overview of program requirements, money management, career planning, employment counseling, resource development and maintaining a relationship with the customer as appropriate. It is the responsibility of the Workforce Center staff to insure that barriers to employment or training are overcome by the referral to appropriate resources and that the client feels that he/she has an advocate in achieving his/her career goals. It is also the responsibility of Workforce Center staff to serve as an advocate for the client in seeking informal resolution to complaints regarding quality of services. Workforce Center staff will do follow-up activities to document client outcomes. Documentation of these activities is recorded in both the clients automated and paper file.

5. **Referral to appropriate resources**, based upon the applicant's identified needs and desires, is the next step in attaining the client's career goals. Selection of these resources is closely linked with supportive services, which will enable the participant to overcome some of the barriers that hinder progress in training or stand in the way of employment.

6. **Supportive Services** are discussed at the time of initial assessment. These currently include, but are not limited to, childcare, transportation assistance, GED testing, tools and uniforms, and testing for licensing or certification for employment. These services are provided on a case-by-case basis, as the need is identified and to the extent that funds are available. Center staff or the training provider may determine the need for supportive services, but authorization is only done by the Center staff. Staff will use a debit card system for support services.

7. **Coordination with State agencies and Community Organizations** – Co-located within the Centers are numerous partner agencies, including State and local agencies that assist in the One Stop concept. Currently TWC, through its partnership provides: verification of layoff/receipt of Unemployment Benefits; verification of applicant wages; certification of eligibility for and coordination with Trade Adjustment Act (TAA/TRA) and NAFTA dislocation benefits. TWC employees are currently assigned to do job search assistance (counseling and availability of job search seminars); placement assistance including direct referral to employers from job bank; verification of employment and wages at follow-up; referral to other Federal, State and local programs. Local TWC staff is under the day to day supervision of the Workforce Center Operator. Also partnered with the Centers are: Texas Health and Human Services Commission, Texas Department of Assistive and
Rehabilitative Services, Texas Commission for the Blind (TCB), Job Corps, the Texas Veterans Commission, the Texas Veterans Leadership Program, Adult Education and Literacy, HUD Family Self-Sufficiency program and Aging and Disability Resource Centers. The Integrated Plan lists additional partners.

8. **Temporary Assistance for Needy Families (TANF) / Choices, Non-Custodial Parent Program and Supplemental Nutrition Assistance Program (SNAP).** The purpose of these programs is to assist welfare recipients and food stamp recipients in the Region in becoming employed and self-supporting through participation in employment, education, and/or vocational training activities. Services are targeted to all TANF recipients and all SNAP recipients. The Choices Non-Custodial Parent program serves low-income unemployed or underemployed noncustodial parents who are behind on their child support payments and whose children are current or former recipients of public assistance, helping them overcome substantial barriers to employment and career advancement while becoming economically self-sufficient and making consistent child support payments.

9. **Workforce Innovation and Opportunity Act Programs** The Workforce Innovation and Opportunity Act (WIOA) is designed to help job seekers secure the employment, education, training and support services necessary to succeed in the labor market and to match employers with the skilled workers they need to compete in the global economy. The workforce development system consists of:

- Employment and training services for adults, dislocated workers and youth
- Wagner-Peyser employment services
- Adult education and literacy programs
- Vocational Rehabilitation state grant programs that assist individuals with disabilities in obtaining employment (currently being transitioned from the Texas Department of Assistive and Rehabilitative Services).
Option C. Proposing Both Childcare Management Systems and Workforce Center System Management and Operations.

A submission of a proposal combining both CCMS and WCSMO must include a narrative, a budget, and staffing summary page for each program (CCMS and WCSMO), plus a combined budget and staffing pages indicating all costs shared between each program administration and operation.

Proposal Conditions (for All Proposals)

1. The individual or entity selected for contract consideration must meet the requirements of the Board’s key control certification system to ensure the financial integrity of the entity or individual prior to contract execution. The Board or its designee will also conduct this review of financial integrity prior to any renewal of the contract resulting from this procurement. These key control measures include a review of the entity’s demonstrated capability to:
   (a) Account for program income in accordance with Federal regulations;
   (b) Budget and appropriately allocate resources and revenues;
   (c) Use and monitor encumbrances;
   (d) Maintain proper cash management;
   (e) Properly record, credit, deposit or disburse in a timely manner cash and cash equivalents;
   (f) Maintain collateral agreements in place that are sufficient to protect balances in excess of Federal Deposit Insurance Corporation (FDIC) coverage;
   (g) Timely resolve questioned costs and the repayment of disallowed costs by the Boards' contracted workforce service providers;
   (h) Safeguard fixed assets;
   (i) Ensure that all purchases are reasonable and necessary;
   (j) Maintain separate duties as they relate to cash, fixed assets, property, and other Board and Board contracted workforce service provider resources;
(k) Properly record fixed assets in the accounting system;

(l) Properly authorize payroll expenditures, accurately recording payroll expenditures in a timely manner, and properly classifying those in the correct accounting period;

(m) Properly manage, authorize and record travel expenditures that are reasonable and necessary;

(n) Retain sufficient supporting documentation regarding authorization of all purchases;

(o) Enter only authorized, accurate transactions in the accounting system;

(p) Separate the duties of authorizing source documents and the duties of entering records into the accounting system;

(q) Use the Board’s “paperless” document system for participant records and retain records in accordance with the applicable rules and regulations; and

(r) Conduct audits in accordance with applicable federal circulars and state policies.

(s) Key control measures shall include the following provisions for ensuring that Boards' contracted workforce service providers are meeting performance measures in compliance with requirements contained in:

1. Federal and state statutes and regulations and directives of the Commission;

2. OMB circulars applicable to the entity, such as OMB Circular A-21, A-87 or A-122, and as supplemented by the Rules promulgated by the Office of the Governor under the Uniform Grant Management Standards (UGMS);

3. Review and consideration of the contracted workforce service provider's history during the four-year period before the contract with the Board of adverse judgments or any adverse finding (such as administrative findings from an audit or sanction by the Commission, a Board, or a court of law); and

4. any other safeguards chosen by the Boards that are designed to ensure, through oversight and management by the Board, the proper and effective use of funds placed under the control of the Boards' contracted service providers.

2. Entities or individuals selected through this procurement must comply with all TWC standards of conduct and conflict of interest provisions.
3. Indirect costs and profit will be limited to a combined amount of no more than 10 percent of the operations expenditure (not including training, support service and direct client expenditures). The amount of indirect costs and profit will be negotiated with WSBVB.

4. All funds provided under contracts as a result of this procurement must be protected through bonds, insurance, escrow accounts, and cash on deposit or other methods to secure the funds consistent with TWC rule 801.55.

5. The entity or organization selected for contract consideration must take appropriate steps to maintain the separation of the authority between the Board and the managing director (s) consistent with the terms of the contract.

6. All proposals must include insurance coverage for property, automobile, volunteers, and general liability:
   - Professional Liability $1,000,000 limit, $1,000 deductible
   - Employee Bonding $400,000 limit, $1,000 deductible
   - General Liability $3,000,000 general aggregate limit, $1,000,000 per occurrence to automobile coverage for employees and volunteers using automobiles for work
   - Errors and Omission insurance
   - Statutory Workers Compensation & Employers Liability

   The cost of insurance may be included in the proposal budgets with the exception of Errors and Omission insurance.

7. Workforce Solutions Brazos Valley reserves the right to accept or reject any or all proposals submitted. Workforce Solutions Brazos Valley also reserves the right to make no award as a result of this RFP.

8. Workforce Solutions Brazos Valley is exempt by law from payment of Texas Sales Tax and Federal Excise Tax.

9. This RFP does not commit Workforce Solutions Brazos Valley or its subcontractors to pay for any cost incurred prior to the execution of any contract. All contracts are contingent upon availability of funds from the U.S. Department of Labor and/or Texas Workforce Commission.

10. The intent of this RFP is to identify the various contract alternatives and estimates of costs for the services (or products) that are being solicited. Workforce Solutions Brazos Valley is under no legal requirement to execute a contract from any proposal submitted.

11. Proposers shall not make any contact with, or make offers of gratuities or favors, to any officer, employee, or member of WSBVB, Subcontractors or elected official in the Brazos
Valley region, or representative or officers of the Texas Workforce Commission, other than according to provisions noted in this RFP. Violation of this instruction will result in immediate rejection of the proposal.

12. Workforce Solutions Brazos Valley specifically reserves the right to vary the provisions set herein anytime prior to the execution of the contract where such variance is deemed to be in the best interest of Workforce Solutions Brazos Valley.

13. All proposals and their accompanying attachments will become the property of Workforce Solutions Brazos Valley after submission and materials will not be returned.

14. The contents of a successful proposal may become contractual obligations, if a contract is awarded. Failure of the proposer to accept those obligations may result in the elimination of the proposal from the selection process. The contents and requirements of this RFP may be incorporated into any legally binding and duly negotiated contract between Workforce Solutions Brazos Valley and the selected subcontractor.

15. Workforce Solutions Brazos Valley will make payments within 30 days of receipt of accurate invoice with support documentation of allowable costs from vendor.

16. WSBVB reserves the right to cancel the contract if the contractor fails to perform as agreed, or for convenience if it is in the best interest of WSBVB.

17. This is a negotiated procurement utilizing the Request for Proposal method, and as such, award does not have to be made to the respondent submitting the lowest priced offer, but rather to the respondent submitting the most responsive proposal that satisfies WSBVB’s requirements.

18. WSBVB will request selected proposer(s) to participate in contract negotiations at the WSBVB office at 3991 East 29th Street, Bryan Texas.

19. Any business, or any branch, division, or department of that business, engaged with the Board in a contract for services that involves a public subsidy will not knowingly employ an undocumented worker. If a contractor doing business with the Board is convicted of a violation under 8 USC S.1324a (unlawful employment of undocumented workers) that business shall repay the amount of the public subsidy with interest not later than the 12th day after the business is notified of the violation. The interest rate applied to the repayment is 15%.

**Debriefing and Appeal Process**

**STEP 1: Request for Debriefing** - Proposers not selected by this procurement process may appeal the decision by submitting, within 10 days of the receipt of WSBVB notification of the procurement decision, a written Request for Debriefing to obtain
information on the procurement process and how their proposal or offer was received and ranked. The WSBVB shall acknowledge receipt of the Request for Debriefing in writing within 10 days of receipt, along with the date and time of the scheduled Debriefing. The Debriefing shall be scheduled as soon as possible and no later than 10 days from the receipt of the Request for Debriefing. (NOTE: A debriefing is offered as a courtesy to any bidder or proposer who is not selected for funding; the 10 day time frame must be adhered to only if a bidder or proposer is considering an appeal.)

**STEP 2: Debriefing** - The purpose of the debriefing is to promote the exchange of information, explain the proposal evaluation system, and help unsuccessful proposers understand why they were not selected. Debriefings serve an important educational function for new proposers. Debriefings will help them to improve the quality of future proposals. Additionally, staff hears direct feedback to help improve future procurements.

**STEP 3: Written Notice of Appeal** - If, after the debriefing, the appealing party wishes to continue with the appeals process, they must submit to the WSBVB a Notice of Appeal. This written notice must clearly state that it is an appeal and identify the decision being appealed; the name, address, phone and fax number of appealing party; and the grounds of the appeal. The Notice of Appeal must be received by the WSBVB Director within 15 days of receipt of the notice of the status of their proposal.

**STEP 4: Formal Hearing** - Upon receipt of the letter of protest, the WSBVB Chairperson or their designee shall contact the proposer to arrange for an appeals conference to be held within 21 days of the notice of protest. The Appeals Conference shall be held at a designated place and at a date and time to be mutually acceptable to both parties. An Appeals Committee shall conduct the Appeals Conference and shall consist of the Board Chairperson (or designee) who shall chair the committee, the Council Vice Chairpersons (or designees) and two staff persons appointed by the Board Chairperson. If, after a full review, a simple majority of the Committee votes to have the Board reconsider, the issue will appear on the agenda at the next regularly scheduled Board meeting.

**Applicable Rules and Conditions**

This procurement and the resultant contract are subject to all applicable rules, regulations and policies promulgated by the Texas Workforce Commission and WSBVB.

WSBVB reserves the right to accept or reject any or all proposals received, to cancel or reissue this RFP in part or its entirety, or to decline to issue a contract based on this RFP.

WSBVB reserves the right to contact any individual, agency employer, or grantees listed in a proposal, to contact others who may have experience and/or knowledge of the bidder's
relevant performance and/or qualifications, and to request additional information from any proposer.

WSBVB also reserves the right to conduct a review of records, systems, and procedures, including credit and criminal background checks, etc., of any entity selected for funding. This may occur prior to or subsequent to the award of a contract or agreement. Misrepresentation of the proposer's ability to perform as stated in the proposal may result in cancellation of any contract or agreement awarded.

A contract with the selected provider may be withheld, at WSBVB's sole discretion, if issues of contract or questions of noncompliance, or questioned/disallowed costs exist, until such issues are satisfactorily resolved. Award of contract may be withdrawn by WSBVB if resolution is not satisfactory to WSBVB.

WSBVB reserves the right to extend any contract resulting from this Request for Proposal. Such extension will be based on vendor performance and funding availability and may be for any period up to 3 years beyond the initial fiscal year.
Proposal Narrative Instructions

Respond to the appropriate Option narrative instructions. Proposers electing to submit under Option C must submit both a CCMS narrative and budget and a Workforce Center System Management narrative and budget.

The proposal narrative should fully address each of the narrative items. Keep in mind that your proposal will be reviewed for completeness of each response, clarity of the response, demonstrated knowledge of requested services and applicable program laws, rules, and requirements. The proposal narrative must present each question and the response to that question.

Part A Child Care Management Services Narrative Instructions

1. Organizational Capacity
   a. Provide a brief history of your organization and its mission or purpose.
   b. List your current child care management contracts and include contracting entity, dollar amount, beginning and end date of each contract, number of children enrolled in care and experience with each of the requested child care services: client services, provider management, financial management.
   c. Provide a complete list of the organization’s funding sources, amounts, and the percent of the total amount each source represents.
   d. Provide a proposed organization chart for your organization which includes the WSBVB CCMS program.
   e. Describe your organization’s experience in each of the following areas:
      1. Financial management of multiple programs, multiple budgets, and fund codes
      2. Client eligibility determination
      3. Monitoring for quality assurance and compliance of subcontractors (child care providers)
      4. Provider management services to include recruitment and improvement for child care providers.
      5. Child developmental materials and equipment for vendors, child care providers and resource rooms (including services for children with disabilities).
   f. Provide evidence of your organization’s demonstrated effectiveness in the provision of CCMS programs.
   g. Describe your experience with Workflow and Docubase programs.
2. **Proposed Staffing for CCMS**
   a. Describe your staffing pattern for all current and proposed staff. Be sure to include all administration staff.
   b. Complete the Proposed Staffing form and include with the proposed budget.
   c. Submit a copy of each staff person’s resume and a job description for all funded positions.
   d. Describe how staff will be monitored for compliance with CCMS rules, policy, quality of services provided, and client satisfaction.
   e. Describe the employee benefits offered by your organization.
   f. If you are using a Professional Employer Organization (PEO) a copy of the signed PEO agreement must be included in your proposal.

3. **Client Services**
   The CCMS is expected to provide services to approximately 1,400 children (daily average in care) with additional children as additional funds become available. CCMS client service tasks include interviewing applicants, determining and documenting client eligibility, verifying information, and notifying the applicant of the availability of subsidized child care. Applicant interviews are primarily conducted by telephone. The CCMS must utilize a toll free telephone number or other phone number that is accessible without charge to clients and child care vendors. CCMS client services tasks also include managing waiting lists of eligible children awaiting the availability of funding or waiting for space available at a preferred CCMS vendor site, authorizing child care for eligible families, and giving parents information that will help them choose child care arrangements that meet their needs and the needs of their children.
   a. Describe in detail your Client Services operation to include eligibility determination, enrollment and referral.
   b. Describe your organizations oversight methods to ensure customers are receiving services, that activities are in compliance with requirements and that performance standards and contract provisions are being met.
   c. Describe your organizations approach to corrective action and resolution of monitoring findings regarding client services.
   d. Provide a copy of your Client Services Standard Operating Procedures as an attachment to your proposal. This may be submitted on a USB drive or computer CD. Include any media submitted in each attachment as well as the original proposal.
4. **Provider Management**

Provider Management is the responsibility for the management of the current vendors, recruitment and enrollment of new CCMS vendors, the renewal of vendor agreements, vendor status and maintenance or improvement of the CCMS vendor’s child care programs, monitoring and evaluating the child care vendor’s compliance with program participation requirements, and technical assistance. Child care is provided by child care providers who have a vendor agreement with the CCMS or eligible self-arranged child care providers who do not have a vendor agreement and are not CCMS vendors.

a. Describe your Provider Management operation.
b. Describe your organizations oversight methods to ensure provider management services are in compliance with requirements and that performance standards and contract provisions are being met.
c. Describe your organizations approach to corrective action and resolution of monitoring findings regarding provider management.
d. Provide a copy of your Provider Management Standard Operating Procedures as an attachment to your proposal. This may be submitted on a USB drive or computer CD. Include any media submitted in each attachment as well as the original proposal.

5. **Financial Management**

The Financial Management Services includes the financial management services of the vendors, SACC providers, parent fees, and client and operational services.

a. Describe your Financial Management operation.
b. Describe your organizations oversight methods to ensure financial management services are in compliance with requirements and that performance standards and contract provisions are being met.
c. If you use a contracted CPA please identify the entity and provide a resume(s) of staff working on this project.
d. Describe your organizations approach to corrective action and resolution of monitoring findings regarding financial management services.
e. Provide a copy of your Financial Management Standard Operating Procedures as an attachment to your proposal. This may be submitted on a USB drive or computer CD. Include any media submitted in each attachment as well as the original proposal.
6. **Coordination and Collaboration**

   a. Describe your organization’s proposed approach to coordination with WSBVB programs and area social service programs with which you might share customers.
   
   b. Describe how your management and operation of CCMS will promote child care services in the community.

7. **Transition**

   The primary capabilities of a CCMS include the ability to manage a broad-based human service agency in a highly automated environment with administrative direction provided by the Workforce Solutions Brazos Valley Board. Bidders for the CCMS contractor(s) must have sufficient financial assets to provide adequate working capital for operations expenses; have the capacity to perform CCMS client services including eligibility determination and documentation, client funding decisions, resource and referral services, and wait list management.

   G. Discuss your organization’s transition plan including, but not limited to, the following:

   1. Staff responsible for the transition and their qualifications.
   2. Continuity of client services.
   3. Continuity of vendor services.
   4. Recruitment of new staff and benefits.

8. **Proposed Budget**

   a. Using the Excel spread sheets budget form provided at [www.bvjobs.org](http://www.bvjobs.org) with this RFP present a line item budget for a twelve (12) month budget period, detailing all of your proposed costs. Provide back-up pages that show how the amounts presented in the budget were calculated. Keep in mind the successful bidder will not have to purchase either an automation system or a telephone system. Explain all costs!

   b. Include a cost allocation plan which details how costs are charged across your organization’s funding sources and grants.

   c. Describe the types (cash, in-kind) and sources of matching funds and/or services you propose to add to CCMS operations and services.
d. How do you propose to pay disallowed costs should such costs occur in the course of workforce center operations under your management? What are the sources of these funds?

e. The Texas Administrative Code, Chapter 801.54 Board Contracting Guidelines, include fiscal integrity provisions, method to secure funds to cover losses, standards or conflict and disclosures that the Board and its contractors must satisfy. At least 10% of the funds of the potential contract must be protected through bonds, insurance, escrow accounts, cash on deposit, or other methods to secure the funds consistent with the rule. **Describe in detail how you will address this requirement.** Please note that funds already committed to another contract may not be used to secure another contract amount.

f. As this is a cost reimbursement contract, proposers are required to attach documentation from a financial institution demonstrating they have adequate cash flow to operate this contract.

Part B. **Workforce Center System Services**

**Proposal Narrative Instructions**

Provide the requested information in the format presented below. Provide thorough descriptions for all elements. Ensure that your narrative is consistent with the rest of the proposal submission. State each question completely and provide your response.

A. **Program Knowledge**

1. Describe your organization’s knowledge and experience with Texas workforce center system, its functions and its reporting requirements.

2. Describe your organization’s knowledge and experience with each of the following programs

   a. Workforce Investment Act (WIA) and the Workforce Innovation and Opportunity Act (WIOA) to include Adults, Dislocated Workers, and Youth programs

   b. Temporary Assistance to Needy Families/Choices

   c. Employment Service Programs

   d. Supplemental Nutrition Assistance Programs
3. Describe the Project Director’s and on-site Managing Director’s outside resources for management and program advice and expertise. What are the experiences and qualifications of these resources?

4. Describe your Project Director’s and on-site Managing Director’s experience and understanding of the TWIST system, the Texas Educating Adults Management System (TEAMS), HHSC and DARS systems, and the role of managing TWIST functions as a part of workforce center management.

B. Proposed Management Approach

1. Describe your proposed approach to managing the Workforce Solutions Brazos Valley workforce center system. Include your approach to building your management team and incorporating continuous improvement into the management of the workforce center system.

2. Describe your approach to managing workforce center system budgets and cost allocation on a daily, weekly and monthly basis.

3. Describe your strategy, actions and staffing decisions to provide each of the following services:
   a. Outreach and intake of potential customers
   b. Assessment of customer needs
   c. Testing/assessment of customer job skills and skills gaps
   d. Development of an employment plan for each customer
   e. Identification/selection of customers for skills training
   f. On-going case management of program participants
   g. Job development efforts
   h. Job placement efforts
   i. Follow up of participants

4. Describe your approach to managing human resources and directing staff to achieve high levels of performance and customer service. Describe your management style. Submit a proposed organization chart to include all positions.

5. To what extent is the on-site managing director empowered to make decisions? Describe what decisions may be made by the on-site managing director and what decisions are to be made by a corporate headquarters, if any.
6. How do you propose to implement Workforce Solutions Brazos Valley goals through the operation of the workforce center system? Include specific details describing your proposed strategies and benchmarks for those strategies.

7. What steps will you take to ensure that all Workforce Solutions Brazos Valley contracted and managed performance measures are exceeded? Please identify and explain proposed strategies for exceeding each of the required performance measures.

8. What actions will you take to address program performance deficiencies? Please explain what systems and processes you will put into place to identify program deficiencies and to address these deficiencies (i.e. quality assurance and corrective action procedures). How are staff held accountable for performance outcomes?

9. How will you hold the workforce center system operation accountable to WSBVB?

10. Describe your efforts to coordinate with WSBVB staff and with other partners such as Independent School Districts, Region VI Educational Center, Blinn College, TAMU, Veterans staff and Job Corp.

11. Describe your planned staff development activities. What kinds of staff/human resource development activities do you propose to ensure that staff has the capacity to perform in an efficient and effective manner? Attach a training matrix for new employees detailing the subject matter they will receive in training, and a yearly training calendar.

12. Describe your approach to building and maintaining an effective business services unit within the workforce centers. What are its functions? How will this unit’s success be measured?

13. Describe your approach to coordinating business services with job seeker services.

14. How will you make the connection between labor force supply and labor market demand? How will you align service strategies with employer and job seeker needs?

15. How will you prepare job seekers to become job candidates?

16. Describe your strategy for coordinating non-TWC funded area resources and programs to assist workforce customers to achieve success.

17. Describe your plan to complete a smooth transition from the current contractor to your organization. Include staff involved in the transition and steps to the transition process.
18. Discuss your strategy for assessing current workforce center staff, any proposed probationary period, recruiting any needed additional staff and negotiating salaries.

19. Describe each of the employee benefits proposed for workforce center staff and the approximate cost to staff, waiting periods, if any, or exclusions.

C. **Professional Experience**

1. Describe the on-site manager’s experience with building an effective and diverse management team. Include significant achievements made by the organization that were a result of those management efforts.

2. Describe your demonstrated effectiveness in managing people and systems to address customer focus, customer service and customer satisfaction.

3. Describe efforts to become involved in the community in a manner that promotes your workforce development values.

4. Describe your experience in developing and managing budgets from multiple funding sources to obtain organizational goals.

5. Describe your experience with federal and state accounting practices and principles.

6. Describe the on-site manager’s specific experience managing workforce center operations and achieving required performance measures.

7. Include a chart showing performance for all TWC performance measures (to include contracted performance level and actual performance level) for workforce center contracts over the last five years.
D. Proposed Budget and Fiscal Capacity/Integrity

1. Budgets

Using the Excel spread sheets budget form provided at www.bvjobs.org with this RFP present a line item budget for a twelve (12) month budget period, detailing all of your proposed costs to WSBVB the proposed programs to include all staff positions and related costs. Proposals submitted using a Professional Employer Organization (PEO) must clearly show employer of record costs and fees, if any. Include a budget narrative that describes each cost item and how it was calculated. Should your proposal be selected for consideration these budgets will be the basis for negotiating the contract amount. Do not include office space, office equipment, general supplies, janitorial, IT services. These are provided by the Board.

2. How do you propose to pay disallowed costs should such costs occur in the course of workforce center operations under your management? What are the sources of these funds?

3. The Texas Administrative Code, Chapter 801.54 Board Contracting Guidelines, include fiscal integrity provisions, method to secure funds to cover losses, standards or conflict and disclosures that the Board and its contractors must satisfy. At least 10% of the funds of the potential contract must be protected through bonds, insurance, escrow accounts, cash on deposit, or other methods to secure the funds consistent with the rule. Describe in detail how you will address this requirement. Please note that funds already committed to another contract may not be used to secure another contract amount.

4. As this is a cost reimbursement contract, proposers are required to attach documentation from a financial institution demonstrating they have adequate cash flow to operate this contract.

Required Attachments

The following documents are required for each proposal, regardless of which Option is chosen for submission. Proposals missing any of the required attachments will be deemed non-responsive and will not be considered in the evaluation process.

A. Administrative Management Survey
B. Financial Systems Survey
C. Signed Certification of Bidder
D. Signed Certification Regarding Debarment Lobbying Drug-free Work Place
E. Signed Certificate Regarding Conflict of Interest
F. Certification Regarding Texas Corporate Franchise Tax
G. Personnel Policies Assurance
H. Table describing employee benefits
I. Assurances & Certifications
J. Resumes and Job Descriptions for Administration and Management staff
K. Job Descriptions for program staff
L. Copies of the three most recent annual monitoring reports (either from a grantor agency or from another Workforce Board, pertaining to your operations of grants and/or programs) and corrective actions implemented to address any findings in these reports. Submit reports for CCMS and/or WCMSO as appropriate. Option C proposers are required to submit monitoring reports for both programs
M. Standard Operating Procedures (for Option A: CCMS or for Option B: WCMSO or for Option C: SOPs for both programs)
N. Audit Summary Reports and detail of any audit exceptions for two most recent years
O. Un-audited financial statements for time since most recent audit.
P. Certificate of Historically Under-utilized Business, if applicable
**Proposition for the WSBVB Programs Management and Operation**

**Proposal Cover Sheet**

<table>
<thead>
<tr>
<th>Name of Proposer:</th>
</tr>
</thead>
</table>

<table>
<thead>
<tr>
<th>Mailing address:</th>
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</table>

<table>
<thead>
<tr>
<th>Physical address (if different):</th>
</tr>
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<table>
<thead>
<tr>
<th>Phone Number:</th>
<th>Email Address:</th>
</tr>
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</table>

<table>
<thead>
<tr>
<th>Proposal contact person:</th>
</tr>
</thead>
</table>

<table>
<thead>
<tr>
<th>Title:</th>
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</table>

<table>
<thead>
<tr>
<th>Contract signatory authority:</th>
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<tr>
<th>Title:</th>
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</table>

**Proposal Option:**  
A: CCMS____  B: WCSMO____  C: Both Programs ______

<table>
<thead>
<tr>
<th>Fund Amount Proposed:</th>
<th>A: $</th>
<th>B: $</th>
<th>C: $</th>
</tr>
</thead>
</table>

**Tax/Legal Status:**  
[ ] Corporation  [ ] Sole Ownership  [ ] Private For Profit  
[ ] Partnership  [ ] Other  [ ] Public Non-Profit

<table>
<thead>
<tr>
<th>Date Established:</th>
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</table>

<table>
<thead>
<tr>
<th>State Controller Identification Number:</th>
</tr>
</thead>
<tbody>
<tr>
<td>(If available)</td>
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</tbody>
</table>

<table>
<thead>
<tr>
<th>Federal Taxpayer I D Number:</th>
</tr>
</thead>
</table>

| Is proposer certified as a historically underutilized business? | [ ] Yes [ ] No |

If yes, attach copy of certification as Attachment R to your proposal.
ATTACHMENT A

ADMINISTRATIVE MANAGEMENT SURVEY

PROPOSER: ____________________________

Please answer the following questions regarding your administrative management system. Additional information may be requested at the time of a pre-award survey, including copies of documents specifically named.

<table>
<thead>
<tr>
<th>QUESTION</th>
<th>YES</th>
<th>NO</th>
<th>N/A</th>
</tr>
</thead>
<tbody>
<tr>
<td>1.  Is your organization in good standing with the Secretary of the State of Texas?</td>
<td></td>
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<tr>
<td>2.  Does your organization have written personnel policies?</td>
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<tr>
<td>3.  Do your written personnel policies contain procedures for:</td>
<td></td>
<td></td>
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</tr>
<tr>
<td>a. Open employee recruitment, selection, and promotional opportunities based on ability, knowledge, and skills;</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>b. Providing equitable and adequate compensation;</td>
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<td></td>
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<tr>
<td>c. Training employees to assure high-quality performance;</td>
<td></td>
<td></td>
<td></td>
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<tr>
<td>d. Retaining employees based on the adequacy of their performance, and making adequate efforts for correcting inadequate performance;</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>e. Assuring fair treatment of applicants and employees in all aspects of personnel without regard to political affiliation, race, color, national origin, sex, age, physical handicap or religious creed, with proper regard for their privacy and constitutional rights as a citizen; and</td>
<td></td>
<td></td>
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</tr>
<tr>
<td>f. Assuring that employees are protected against coercion for partisan political purposes and are prohibited from using their official authority for the purpose of interfering with or affecting the result of an election or nomination for office?</td>
<td></td>
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<tr>
<td>4.  Can your organization revise its present written personnel policies to include the above procedures?</td>
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<tr>
<td>5.  Do your written personnel policies contain a prohibition against nepotism and code of conduct?</td>
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<tr>
<td>6.  Do your written personnel policies contain a prohibition against employees using their positions for private gain for themselves or other parties?</td>
<td></td>
<td></td>
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<tr>
<td>7.  Does your organization have an authorized, written travel policy for employees and authorized agents that provides for reimbursement for mileage and per diem at a specified rate?</td>
<td></td>
<td></td>
<td></td>
</tr>
</tbody>
</table>
8. Does your organization have a written employee grievance procedure used to resolve employment complaints?  

9. Does your organization have the capacity or staff to produce and maintain participant records and other information as needed by the Board?  

10. If certain costs are determined to be disallowed, does your organization have a procedure or source for reimbursing such costs to the Board?  

11. Does your agency have a State Comptroller Vendor Number?  

12. Is your organization governed by a Board/Council?  

13. Does your organization operate under local rules or by-laws?  

14. Has your Board/Council reviewed and approved this proposal? (Attachment must be submitted)  

15. Does your organization have a current approved Fidelity Bond?  

16. Does your organization have an EEO/Affirmative Action Plan?  

17. Does your organization have a Complaint Monitor or Ethics Designee?  

Name:_________________________ Signature:______________________________
ATTACHMENT B
FINANCIAL SYSTEMS SURVEY

PROPOSER:
Please answer the following questions regarding your fiscal management system. Additional information may be requested at the time of a pre-award survey, including copies of the documents specifically named.

<table>
<thead>
<tr>
<th>Question</th>
<th>Yes</th>
<th>No</th>
<th>N/A</th>
</tr>
</thead>
<tbody>
<tr>
<td>Does your organization follow GAAP?</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>1. Does your accounting system:</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>a. Provide control and accountability for funds received, property, and other assets;</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>b. Provide identification of receipt and expenditures of funds separately for each funding source;</td>
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<tr>
<td>c. Provide adequate information to prepare monthly financial reports on an accrual basis;</td>
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<tr>
<td>d. Have the capability to track allowability and allocation of costs in accordance with requirements for federal grant programs;</td>
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<td></td>
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</tr>
<tr>
<td>2. Are state and federal funds which may be advanced to you deposited in a bank with federal insurance oversight?</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>3. Has the bank in which you deposit state and federal funds insured the account(s) or put up collateral or both equal to the largest sum of money which would be in such account(s) at any one point in time during the contract period?</td>
<td></td>
<td></td>
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<tr>
<td>4. Do you reconcile your bank accounts monthly?</td>
<td></td>
<td></td>
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<tr>
<td>5. Are the bank reconciliations made by the same person who performs recordkeeping for receipts, deposits, and disbursement transactions?</td>
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<tr>
<td>6. Do you record daily cash receipts and disbursement transactions?</td>
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<tr>
<td>7. Are individuals or positions in your organization which handle the receipt or distribution of money covered by bond?</td>
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<tr>
<td>a. Is there a person who is responsible for the receipt of all purchased goods?</td>
<td></td>
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<td></td>
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<tr>
<td>b. Does this person assign, upon receipt, an inventory number for items?</td>
<td></td>
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<tr>
<td>c. Does this person perform an inventory audit at least once a year?</td>
<td></td>
<td></td>
<td></td>
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<tr>
<td>2. Do you maintain records on all property acquisition, disposition, and transfer</td>
<td></td>
<td></td>
<td></td>
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<tr>
<td>3. Do you have written procedures and internal controls established for the procurement of goods and services?</td>
<td></td>
<td></td>
<td></td>
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<tr>
<td>4. Is a competitive bidding process incorporated into your purchasing procedures for acquisition of subcontractors, major goods and services, equipment, and office space?</td>
<td></td>
<td></td>
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<tr>
<td>5. Are timesheets kept to support payroll disbursement? If not, describe how employee time is documented and payroll supported:</td>
<td></td>
<td></td>
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</tr>
<tr>
<td>6. Are records maintained to support authorized employee leave (vacation, sick, etc.)?</td>
<td></td>
<td></td>
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<tr>
<td>7. Are complete records kept to support travel payments?</td>
<td></td>
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<tr>
<td>8. Has a formal audit by an outside auditing firm been conducted of your organization’s financial record in the past year?</td>
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<tr>
<td>9. Do you have an indirect cost plan with current approval by a cognizant agency?</td>
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<tr>
<td>10. Is your organization funded by more than one source?</td>
<td></td>
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<td></td>
</tr>
<tr>
<td>11. Does your organization maintain written accounting procedures?</td>
<td></td>
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</tr>
</tbody>
</table>

Name:___________________________________ Signature:____________________________
I hereby certify that the information contained in this proposal and all attachments is true and correct and may be viewed as an accurate representation of proposed services to be provided by this organization. I certify that no employee, board member, or agent of the Workforce Solutions Brazos Valley Board has assisted in the preparation of this proposal. I acknowledge that I have read and understood the requirements and provisions of the request for proposal and that this organization will comply with all pertinent regulations, board policies, and other applicable local, state and federal regulations and directives in the implementation of these programs. I certify that I have read and understand the Governing Provisions and Limitations and the Administrative Requirements and Procedures sections of this RFP and will comply with the terms.

I, _______________________________, certify that I am the ____________________
(typed name)       (title)
of the corporation, partnership, or sole proprietorship, or other eligible entity named as a proposer and Respondent herein and that I am legally authorized to sign this proposal and submit it to the Workforce Solutions Brazos Valley on behalf of said organization by authority of its governing body.

<table>
<thead>
<tr>
<th>Person Authorized to sign for the organization:</th>
<th>Board member signature of authorizing Board:</th>
</tr>
</thead>
<tbody>
<tr>
<td>Signature:</td>
<td>Signature:</td>
</tr>
<tr>
<td>Typed Name</td>
<td>Typed Name</td>
</tr>
<tr>
<td>Typed Title</td>
<td>Typed Title</td>
</tr>
<tr>
<td>Date:</td>
<td>Date:</td>
</tr>
</tbody>
</table>

Subscribed and sworn to before me on this _________day of __________________.2010 in _____________, (county), ___________ (state).

Notary Public in and for________________________ County,

State of _____________________________. Commission expires:_________________ SEAL
ATTACHMENT D

CERTIFICATION REGARDING DEBARMMENT, SUSPENSION AND OTHER RESPONSIBILITY MATTERS

This certification is required by the Federal Regulations Implementing Executive Order 12549, Debarment and Suspension, 45 CFR Part 93, Government-wide Debarment and Suspension, for the Department of Agriculture (7 CFR Part 3017), Department of Labor (29 CFR Part 98), Department of Education (34 CFR Parts 85, 668 and 682), Department of Health and Human Services (45 CFR Part 76).

The undersigned certifies, to the best of his or her knowledge and belief, that both it and its principals:

1. Are not presently debarred, suspended, proposed for debarment, declared ineligible, or voluntarily excluded from participation in this transaction by any federal department or agency;

2. Have not within a three-year period preceding this contract been convicted of or had a civil judgment rendered against them for commission of fraud or a criminal offense in connection with obtaining, attempting to obtain, or performing a public (federal, State or local) transaction or contract under a public transaction, violation of federal or State antitrust statutes or commission of embezzlement, theft, forgery, bribery, falsification or destruction of records, making false statements, or receiving stolen property;

3. Are not presently indicted for or otherwise criminally or civilly charged by a government entity with commission of any of the offenses enumerated in Paragraph (2) of this certification; and,

4. Have not within a three-year period preceding this contract had one or more public transactions terminated for cause or default.

Where the prospective recipient of federal assistance funds is unable to certify to any of the statements in this certification, such prospective recipient shall attach an explanation to this certification form.

Name of Organization/Firm

Signature of Authorized Representative   Date

Print Name and Title of Authorized Representative
ATTACHMENT E

CERTIFICATION REGARDING LOBBYING

This certification is required by the Federal Regulations Implementing Section 1352 of the Program Fraud and Civil Remedies Act, Title 31 U.S. Code, for the Department of Agriculture (7 CFR Part 3018), Department of Labor (29 CFR Part 93), Department of Education (34 CFR Part 82), Department of Health and Human Services (45 CFR Part 93).

The undersigned certifies, to the best of his or her knowledge and belief, that:

No federal appropriated funds have been paid or will be paid, by or on behalf of the undersigned, to any person for influencing or attempting to influence an officer or employee of Congress, or an employee or a Member of Congress in connection with the awarding of any federal grant, the making of any federal loan, the entering into of any cooperative agreement, and the extension, continuation, renewal, amendment, or modification of a federal contract, grant, loan, or cooperative agreement.

If any funds other than federal appropriated funds have been paid or will be paid to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with this federal contract, grant, loan or cooperative agreement, the undersigned shall complete and submit Standard Form - LLL, “Disclosure Form to Report Lobbying,” in accordance with its instructions.

The undersigned shall require that the language of this certification be included in the award documents for all sub-awards at all tiers (including subcontracts, sub-grants, and contracts under grants, loans, and cooperative agreements) and that all sub-recipients shall certify and disclose accordingly.

________________________________________________
Name of Organization

_________________________________________________
Signature of Authorized Representative   Date

__________________________________________________
Print Name and Title of Authorized Representative
ATTACHMENT F

CERTIFICATION REGARDING DRUG-FREE WORKPLACE


The undersigned subcontractor certifies it will provide a drug-free workplace by:

1. Publishing a policy statement notifying employees that the unlawful manufacture, distribution, dispensing, possession or use of a controlled substance is prohibited in the workplace and specifying the consequences of any such action by an employee;

2. Establishing an ongoing drug-free awareness program to inform employees of the dangers of drug abuse in the workplace, the subcontractor’s policy of maintaining a drug-free workplace, the availability of counseling, rehabilitation and employee assistance programs, and the penalties that may be imposed on employees for drug abuse violations in the workplace;

3. Providing each employee with a copy of the subcontractor’s policy statement;

4. Notifying the employees in the subcontractor’s policy statement that as a condition of employment under this subcontract, employees shall abide by the terms of the policy statement and notifying the subcontractor in writing within five days after any conviction for a violation by the employee of a criminal drug abuse statute in the workplace;

5. Notifying the Board within ten (10) days of the subcontractor’s receipt of a notice of a conviction of any employee; and,

6. Taking appropriate personnel action against an employee convicted of violating a criminal drug statute or require such employee to participate in a drug abuse assistance or rehabilitation program.

_____________________________________________________
Name of Organization

_____________________________________________________
Signature of Authorized Representative   Date

_____________________________________________________
Print Name and Title of Authorized Representative
ATTACHMENT G
CERTIFICATION REGARDING CONFLICT OF INTEREST

By signature of this proposal, Proposer covenants and affirms that:

1. No manager, employee or paid consultant of the proposer is a member of the Workforce Solutions Brazos Valley Board;

2. No manager or paid consultant of the proposer is a spouse to a member of the policy board, the chairman or a manager of the Workforce Solutions Brazos Valley Board;

3. No member of the policy board, the president or an employee of the Brazos Valley Workforce Development Board owns or controls more than 10 percent in the proposer;

4. No spouse of a member of the policy board, president or employee of the Workforce Solutions Brazos Valley Board is a manager or paid consultant of the proposer;

5. No member of the policy board, president or employee of the Workforce Solutions Brazos Valley Board receives compensation from proposer for lobbying activities as defined in federal laws or Chapter 305 of the Texas Government Code;

6. Proposer has disclosed within the proposal any interest, fact or circumstance which does or may present a potential conflict of interest;

7. Should proposer fail to abide by the forgoing covenants and affirmations regarding conflict of interest, proposer shall not be entitled to recovery of any costs or expenses incurred in relation to any contract with the Workforce Solutions Brazos Valley Board and shall immediately refund to the Workforce Solutions Brazos Valley Board any fees or expenses that may have been paid under the contract and shall further be liable for any costs incurred or damages sustained by the Workforce Solutions Brazos Valley Board relating to that contract.

Name of Organization Submitting Proposal: ____________________________________

Name and Title of Authorized Signatory: ______________________________________

Signature: _____________________________ Date: ___________________
CERTIFICATION REGARDING TEXAS CORPORATE FRANCHISE TAX

Pursuant to Article 2.45, Texas Business Corporation Act, state agencies may not contract with for-profit corporations that are delinquent in making state franchise tax payments. The following certification that the entity entering into this subcontract is current in its franchise taxes or is not subject to the payment of franchise taxes to the State of Texas must be signed by the individual authorized to sign the subcontract for the subcontracting entity.

The undersigned authorized representative of the entity subcontracting herein certifies that the following indicated statement is true and correct and that the undersigned understands that making a false statement is a material breach of subcontract and is grounds for subcontract cancellation.

Indicate the certification that applies to your subcontracting entity:

___ The subcontracting entity is a for-profit corporation and certifies that it is not delinquent in its franchise tax payments to the State of Texas.

___ The subcontracting entity is a non-profit corporation or is otherwise not subject to payment of franchise taxes to the State of Texas.

Name of Business:____________________________________________________

Type of Business (if not corporation): ___ Sole proprietor

___ Partnership

___ Other

IRS Tax Number:_____________________

Name of Authorized Representative: _____________________________________

Signature of Authorized Representative:___________________________________
ATTACHMENT I

PERSONNEL POLICIES ASSURANCE

In lieu of submitting the organization’s complete personnel policies and procedures proposers must complete this assurance that their personnel policies address at a minimum the following elements. Personnel policies and procedures will be verified as a part of the pre-award review should the proposal be selected for consideration.

- Terms and conditions for employment
- Employee compensation and fringe benefits
- Holidays, vacation and sick leave,
- Travel policies and reimbursement of travel expenses
- Conflict of interest policy
- Employee grievance procedures
- Employee code of conduct.

Personnel Policies and Procedures for ____________________________ do address the elements checked above.

I understand that the verification of the adequacy of personnel policies and procedures will be a part of the pre-award review should this organization be selected.

Signature_______________________________ Date _______________

Printed Name and Title ____________________________
ATTACHMENT J

PROPOSED EMPLOYEE BENEFITS

Submit a table/matrix detailing the employee benefits proposed in your administration of CCS, WCSMO or both. Include the cost for employees as well as the cost to the Board contract. Also include 401K or other retirement benefits.
ATTACHMENT K

ASSURANCES AND CERTIFICATIONS

Each organization and any branch, division or department or individual that submits a proposal in response to a Request for Proposal warrants, assures and certifies:

1. The information contained in this proposal is true and correct.
2. The costs described in the proposal budget accurately reflect the proposer’s cost of providing services or goods.
3. No employee, member of a government board or board of directors, or any other individual associated with an organization or individual person offering a proposal under this Request for Proposals has offered or will offer any gratuities, favors, or anything of monetary value to any member of the Workforce Solutions - Brazos Valley Board or any employee of the Board for the purpose of or having the effect of influencing the decisions of the Board with respect to the organization or individual’s proposal or any other proposal.
4. No employee, member of a governing board or board of directors, or any other individual associated with an organization or individual person offering a proposal under this Request for Proposals has engaged or will engage in any activity which may be construed in restricting or eliminating competition for funds available under this Request for Proposals.
5. The organization or individual possesses the legal authority to offer this proposal.
6. If the proposer is an organization, a resolution, motion, or similar action has been duly adopted or passed as an official act of the proposer’s governing body authorizing the submission of this proposal.
7. No person will be excluded from participation in, be denied the benefits of, be subjected to discrimination under, or be denied employment in the administration of or in connection with any program operated with funds from this Request for Proposals because of race, color, religion, sex, national origin, age, disability, sexual orientation, or political affiliation or belief.
8. The organization or individual business does not and will not knowingly employ an undocumented worker as defined in Texas Government Code, §2264.001(4). If the Contractor knowingly employs an undocumented worker, they shall repay WSBVB/BVCOG the amount of the public subsidy with 15% interest no later than the 120th day after the business is notified of the violation.
9. If awarded this contract the organization or individual business will comply with the Buy American Act concerning these funds.

Each organization or individual that submits a proposal also warrants and assures that they will abide by the rules of the following laws, acts, codes, etc. and all applicable rules and regulations promulgated hereunder, as a condition to award of financial assistance from WSBV with respect to operation of WSBV funded programs or activities and all agreements or arrangements to carry out WSBV funded programs or activities:

- WIA of 1998
- Title Vi of the Personal Responsibility and Work Opportunity Act of 1996
- PL 88-352 Civil Rights Act of 1964
- 42 USC12001 American with Disabilities Act of 1990
- PL 93-112 Rehabilitation Act of 1973
- 40 TAC § Texas Administrative Code, Article 40, Part I, Chapter 73 Subpart A
- Assurances required for the Child Care program, Chapter 809 Texas Workforce Commission Administrative Code
- Age Discrimination Act of 1975
- Title IX of the Education Amendments of 1972
- Texas Government Code §2264.051

By signing I acknowledge that I have read these assurances and certifications and that I am authorized to bind the organization I represent to these requirements should this proposal be accepted for funding by the Workforce Solutions Brazos Valley Board.

__________________________________  __________________________________
Signature      Proposing Organization

__________________________________  __________________________________
Typed Name and Title     Date
ATTACHMENT L

RESUMES/JOB DESCRIPTIONS ADMINISTRATION/ MANAGEMENT

Please submit resumes and job descriptions for each administrative staff position and Management staff position. Include resumes and job descriptions of corporate support staff (i.e. accounting, oversight, personnel, etc.) paid in full or in part from the Board’s contract.
ATTACHMENT M

RESUMES/JOB DESCRIPTIONS PROGRAM STAFF
ATTACHMENT N

MONITORING REPORTS

Copies of the three most recent annual monitoring reports (either from a grantor agency or from another Workforce Board, pertaining to your operations of grants and/or programs) and state corrective actions implemented to address any findings in these reports.
ATTACHMENT O

STANDARD OPERATING PROCEDURES

Include in your proposal your Standard Operating Procedures (for Option A: CCMS or for Option B: WCMSO or for Option C, SOPs for both programs). These may be submitted on computer CD or USB drive. Each proposal copy must have the complete SOPs for the program(s) you are proposing to manage.
ATTACHMENT P

AUDIT REPORTS

Submit a copy of your audit Summary Reports and detail of any audit exceptions for two most recent years.
ATTACHMENT Q

UNAUDITED FINANCIAL STATEMENT

Please submit a copy of your un-audited financial statements for the period since the most recent audit.
RFP INFORMATION ATTACHMENTS

BRAZOS VALLEY WORKFORCE CENTERS

**Brazos County - Full Service**
3991 East 29th Street
Bryan, Texas 77802

**Madison County - Satellite**
300 West School, Ste. 200
Madisonville, Texas 77864

**Washington County - Satellite**
97 Dupree
Brenham, TX 77833

**Robertson County - Satellite**
303 Post Oak
Hearne, Texas 77859

**Leon County - Satellite**
204 E. St. Mary's Street
Centerville, Texas 75833

**Burleson County - Satellite**
119 C Main Street
Caldwell, Texas 77836

**Grimes County - Satellite**
1604 Stacey Street
Navasota, Texas 77868
<table>
<thead>
<tr>
<th>Position</th>
<th>Rate</th>
<th>Annual</th>
</tr>
</thead>
<tbody>
<tr>
<td>Career Coach</td>
<td>14</td>
<td>$ 29,120.00</td>
</tr>
<tr>
<td>CCS Support Specialist</td>
<td>14</td>
<td>$ 29,120.00</td>
</tr>
<tr>
<td>Career Coach</td>
<td>15</td>
<td>$ 31,200.00</td>
</tr>
<tr>
<td>CCS Eligibility Specialist</td>
<td>15.5</td>
<td>$ 32,240.00</td>
</tr>
<tr>
<td>Career Coach</td>
<td>14.5</td>
<td>$ 30,160.00</td>
</tr>
<tr>
<td>CCS Eligibility Specialist</td>
<td>15</td>
<td>$ 31,200.00</td>
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<tr>
<td>CCS Eligibility Specialist</td>
<td>15.5</td>
<td>$ 32,240.00</td>
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<tr>
<td>CCS Eligibility Specialist</td>
<td>15</td>
<td>$ 31,200.00</td>
</tr>
<tr>
<td>CCS Eligibility Specialist</td>
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<td>$ 29,120.00</td>
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<tr>
<td>CCS Eligibility Specialist</td>
<td>14.5</td>
<td>$ 30,160.00</td>
</tr>
<tr>
<td>CCS Eligibility Specialist</td>
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<td>$ 31,200.00</td>
</tr>
<tr>
<td>CCS Eligibility Specialist</td>
<td>15.5</td>
<td>$ 32,240.00</td>
</tr>
<tr>
<td>Marketing Representative</td>
<td>18.5</td>
<td>$ 38,480.00</td>
</tr>
<tr>
<td>Career Coach</td>
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<td>$ 29,120.00</td>
</tr>
<tr>
<td>CCS Eligibility Specialist</td>
<td>15</td>
<td>$ 31,200.00</td>
</tr>
<tr>
<td>Career Coach/Career Coach/CCS</td>
<td>14.5</td>
<td>$ 30,160.00</td>
</tr>
<tr>
<td>CCS Eligibility Specialist</td>
<td>14.5</td>
<td>$ 30,160.00</td>
</tr>
<tr>
<td>CCS Eligibility Specialist</td>
<td>14.5</td>
<td>$ 30,160.00</td>
</tr>
<tr>
<td>CCS Eligibility Specialist</td>
<td>15</td>
<td>$ 31,200.00</td>
</tr>
<tr>
<td>Career Coach/Certification</td>
<td>16</td>
<td>$ 33,280.00</td>
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<tr>
<td>Career Coach</td>
<td>14</td>
<td>$ 29,120.00</td>
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<tr>
<td>QA Manager</td>
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<td>$ 27,560.00</td>
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<tr>
<td>Career Coach/Career Coach/CCS</td>
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<td>$ 32,240.00</td>
</tr>
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<td>$ 27,560.00</td>
</tr>
<tr>
<td>Outreach Specialist</td>
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<td>$ 28,080.00</td>
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<tr>
<td>Career Coach</td>
<td>15.5</td>
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<tr>
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<td>24</td>
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<tr>
<td>BSU Outside Sales</td>
<td>18.79</td>
<td>$ 39,083.20</td>
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<tr>
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<tr>
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<td>15</td>
<td>$ 31,200.00</td>
</tr>
<tr>
<td>Career Coach</td>
<td>14.75</td>
<td>$ 30,680.00</td>
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<tr>
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<td>14</td>
<td>$ 29,120.00</td>
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<td>Data Analyst</td>
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<td>WIOA Program Manager</td>
<td>27.88</td>
<td>$ 57,990.40</td>
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<tr>
<td>Position</td>
<td>Hours</td>
<td>Salary</td>
</tr>
<tr>
<td>----------------------------------</td>
<td>-------</td>
<td>---------</td>
</tr>
<tr>
<td>NCP Career Coach</td>
<td>16</td>
<td>$33,280.00</td>
</tr>
<tr>
<td>Program Tracker</td>
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<td>$30,160.00</td>
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<tr>
<td>BSU Inside Sales Rep</td>
<td>17.83</td>
<td>$37,086.40</td>
</tr>
<tr>
<td>CCS Eligibility Specialist</td>
<td>14</td>
<td>$29,120.00</td>
</tr>
<tr>
<td>Facilitator</td>
<td>21</td>
<td>$43,680.00</td>
</tr>
<tr>
<td>Career Coach</td>
<td>14.5</td>
<td>$30,160.00</td>
</tr>
<tr>
<td>Admin Assistant</td>
<td>12</td>
<td>$24,960.00</td>
</tr>
<tr>
<td>Accountant</td>
<td>18.6</td>
<td>$38,688.00</td>
</tr>
<tr>
<td>Facilitator</td>
<td>16.35</td>
<td>$34,008.00</td>
</tr>
<tr>
<td>Operations/CCMS Manager</td>
<td>28.85</td>
<td>$60,008.00</td>
</tr>
</tbody>
</table>
In addition to the contracted measures there are Board contracted local performance measures being redesigned, (e.g. ability to work with adult education and literacy, community business leadership partnerships. These performance targets will be discussed in contract negotiations.

<table>
<thead>
<tr>
<th>Contracted Measures</th>
<th>Target</th>
</tr>
</thead>
<tbody>
<tr>
<td>1. Staff Guided Entered Employment</td>
<td>60%</td>
</tr>
<tr>
<td>2. Claimant Reemployment-</td>
<td>59%</td>
</tr>
<tr>
<td>3. Claimant Reemployment Within 10 Weeks-</td>
<td>51%</td>
</tr>
<tr>
<td>4. Market Share</td>
<td>26%</td>
</tr>
<tr>
<td>5. Staff-Created Job Openings Filled</td>
<td>75%</td>
</tr>
<tr>
<td>6. Project Rio Customers Served</td>
<td>770</td>
</tr>
<tr>
<td>7. Child Care Average Children Per Day</td>
<td>1,124</td>
</tr>
<tr>
<td>8. Choice Single Parent Participation Rate</td>
<td>40%</td>
</tr>
<tr>
<td>9. Choices Two Parent Participation Rate</td>
<td>55%</td>
</tr>
<tr>
<td>10. WIA Youth Placement in Employment/Education</td>
<td>58%</td>
</tr>
<tr>
<td>11. WIA Youth Attainment of Degree/Certificate</td>
<td>53%</td>
</tr>
<tr>
<td>12. WIA Youth Literacy/Numeracy Gains</td>
<td>35%</td>
</tr>
<tr>
<td>13. At Risk Employment Retention</td>
<td>72%</td>
</tr>
<tr>
<td>14. Educational Achievement</td>
<td>82%</td>
</tr>
<tr>
<td>15. Non-custodial Parent (NCP) performance is 11 customers per month.</td>
<td></td>
</tr>
<tr>
<td>Budget Item</td>
<td>Option A: CCMS</td>
</tr>
<tr>
<td>----------------------------------------</td>
<td>----------------</td>
</tr>
<tr>
<td>Program Personnel Salary Totals</td>
<td></td>
</tr>
<tr>
<td>Brazos County</td>
<td></td>
</tr>
<tr>
<td>Burleson County</td>
<td></td>
</tr>
<tr>
<td>Grimes County</td>
<td></td>
</tr>
<tr>
<td>Leon County</td>
<td></td>
</tr>
<tr>
<td>Madison County</td>
<td></td>
</tr>
<tr>
<td>Robertson County</td>
<td></td>
</tr>
<tr>
<td>Washington County</td>
<td></td>
</tr>
<tr>
<td>Other Program Personnel (list)</td>
<td></td>
</tr>
<tr>
<td>Benefits (attach detail)</td>
<td></td>
</tr>
<tr>
<td>Child Care Client Services</td>
<td></td>
</tr>
<tr>
<td>Child Care Provider Services</td>
<td></td>
</tr>
<tr>
<td>Child Care Financial Services</td>
<td></td>
</tr>
<tr>
<td>Administration/Management Personnel</td>
<td></td>
</tr>
<tr>
<td>On – site administration/management personnel</td>
<td></td>
</tr>
<tr>
<td>Corporate (off-site) personnel</td>
<td></td>
</tr>
<tr>
<td>Indirect Cost (attach detail)</td>
<td></td>
</tr>
<tr>
<td>Management Fee or Administrative Fee</td>
<td></td>
</tr>
<tr>
<td>Staff Travel (attach detail)</td>
<td></td>
</tr>
<tr>
<td>Staff Development (attach detail)</td>
<td></td>
</tr>
<tr>
<td>Supplies/materials (attach detail)</td>
<td></td>
</tr>
</tbody>
</table>

*Complete all three columns for Option C
<table>
<thead>
<tr>
<th>PEO/EOR costs (attach PEO/EOR cost summary chart)</th>
<th></th>
<th></th>
<th></th>
<th>Page 2</th>
</tr>
</thead>
<tbody>
<tr>
<td>Insurance</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Other costs (attach detail)</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td><strong>Subtotal</strong></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td><strong>Profit</strong></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td><strong>Total Budget</strong></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Overall cost per staff</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
</tbody>
</table>
### Proposed Staffing – Workforce Solutions Brazos Valley

**Instructions:** Complete one form for Program Staff, one Form for Administrative/Management Staff, for each program option for which you are proposing (i.e. two for CCMS, two for WCSMO)

<table>
<thead>
<tr>
<th>Proposers Name</th>
</tr>
</thead>
<tbody>
<tr>
<td>Program:</td>
</tr>
<tr>
<td>Staffing: Administration/Management</td>
</tr>
<tr>
<td>Staff: Program Staff (check one)</td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>Position Title</th>
<th>Annual Salary</th>
<th>Annual Benefits</th>
<th>Salary plus benefits</th>
<th># of staff per position</th>
<th>Full/Part Time</th>
<th>Total Cost (salary plus benefits x number of staff in position)</th>
<th>Funding Source</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td>Board Funds</td>
</tr>
<tr>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td>Other Source</td>
</tr>
</tbody>
</table>

| Totals         | 0             | 0               | 0                    | 0                       | 0              | 0                                                            | 0              | 0               |
**BUDGET DETAIL SHEET A**

Complete each Budget Detail Sheet for each Program, CCMS or WCSMO

**Proposer’s Name**

Instructions: Show the calculations and explain each of the costs identified below.

Attach narrative pages as necessary to explain and justify costs

<p>| | |</p>
<table>
<thead>
<tr>
<th></th>
<th></th>
</tr>
</thead>
<tbody>
<tr>
<td>A. Fringe Benefits</td>
<td></td>
</tr>
<tr>
<td>B. Indirect Cost or Administrative Fee</td>
<td></td>
</tr>
<tr>
<td>C. Staff Travel</td>
<td></td>
</tr>
<tr>
<td>D. Staff Development</td>
<td></td>
</tr>
<tr>
<td>E. Supplies/Materials</td>
<td></td>
</tr>
</tbody>
</table>
# BUDGET DETAIL SHEET B

Proposer's Name

Instructions: Show the calculations and explain each of the costs identified below
Attach narrative pages as necessary to explain and justify costs

- **F. Insurance**

- **G. profit**

- **H. Other**

- **I. Other**

- **J. Contributed Resources: Detail resources from other sources to be contributed**
# PEO/EOR Costs Summary

## One-Time Costs

<table>
<thead>
<tr>
<th></th>
<th>Amount</th>
<th>Cost per Employee</th>
</tr>
</thead>
<tbody>
<tr>
<td>Initial Set-up Fees</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Retirement Plan (401k, etc.)</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Cafeteria or 125 Plan Option</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Database Creation Fee</td>
<td></td>
<td></td>
</tr>
<tr>
<td>COBRA Notification Fee</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Other &quot;Must Have&quot; Requirements</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Other &quot;Preferred&quot; Requirements</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Terminating Current Employees</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Adding New Employees</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Other Initial Set-up fees not listed [describe]</td>
<td></td>
<td></td>
</tr>
<tr>
<td><strong>Totals</strong></td>
<td><strong>0</strong></td>
<td></td>
</tr>
</tbody>
</table>

## Monthly Costs

<table>
<thead>
<tr>
<th></th>
<th>Monthly</th>
<th>Cost per Employee for contract period</th>
</tr>
</thead>
<tbody>
<tr>
<td>On-going Administration Fees</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Basic Admin Fee</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Retirement Plan Fee</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Cafeteria Plan Fee</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Form 5500 Preparation/Filing Fee</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Retirement Plan</td>
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<td></td>
</tr>
<tr>
<td>Cafeteria Plan</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Other not listed</td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

**Total**

- total plus one-time set up fees*

$\$

*Transfer to Budget Summary Page